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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 114243-003	
In Re Application Of: <b>Kazuhiko Hachiya et al.</b>					
Application No. <b>10/039,315</b>	Filing Date <b>10/22/2001</b>	Examiner	Customer No. <b>29175</b>	Group Art Unit <b>2176</b>	Confirmation No. <b>7385</b>
<b>Title: METHOD AND APPARATUS FOR SENDING E-MAIL, METHOD AND APPARATUS FOR RECEIVING E-MAIL, SENDING/RECEIVING METHOD AND APPARATUS FOR E-MAIL, SENDING PROGRAM SUPPLYING MEDIUM, RECEIVING PROGRAM SUPPLYING MEDIUM AND SENDING/RECEIVING PROGRAM SUPPLYING...</b>					
<p>Address to: <b>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</b></p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

114243-003

In Re Application: Kazuhiko Hachiya et al.

Application No. 10/039,315	Filing Date 10/22/2001	Examiner	Customer No. 29175	Group Art Unit 2176	Confirmation No. 7385
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Title: METHOD AND APPARATUS FOR SENDING E-MAIL, METHOD AND APPARATUS FOR E-MAIL, SENDING/RECEIVING METHOD AND APPARATUS FOR E-MAIL, SENDING PROGRAM MEDIUM, RECEIVING PROGRAM SUPPLYING MEDIUM AND SENDING/RECEIVING PROGRAM

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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10/15/04

(Date)

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

Dated: October 15, 2004

Signature

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kazuhiko Hachiya et al.  
Appl. No.: 10/039,315  
Conf. No.: 7385  
Filed: October 22, 2001  
Title: METHOD AND APPARATUS FOR SENDING E-MAIL, METHOD AND  
APPARATUS FOR RECEIVING E-MAIL, SENDING/RECEIVING METHOD  
AND APPARATUS FOR E-MAIL, SENDING PROGRAM SUPPLYING  
MEDIUM, RECEIVING PROGRAM SUPPLYING MEDIUM AND  
SENDING/RECEIVING PROGRAM SUPPLYING MEDIUM  
Art Unit: 2176  
Examiner:  
Docket No.: 114243-003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 08/993,726, filed on December 17, 1997, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,008,853	04/16/1991	Bly et al.
5,107,443	04/21/1992	Smith et al.
5,138,653	08/11/1992	Le Clercq
5,202,828	04/13/1993	Vertelney et al.
5,283,887	02/01/1994	Zachery
5,303,388	04/12/1994	Kreitman et al.
5,347,628	09/13/1994	Brewer et al.
5,377,997	01/03/1995	Wilden et al.
5,418,908	05/23/1995	Keller et al.
5,490,244	02/06/1996	Isensee et al.
5,498,003	03/12/1996	Gechter
5,548,753	08/20/1996	Linstead et al.

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5,647,002	07/08/1997	Brunson
5,682,469	10/28/1997	Linnett et al.
5,715,416	02/03/1998	Baker
5,727,950	03/17/1998	Cook et al.
5,781,901	07/14/1998	Kuzma
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5,826,269	10/20/1998	Hussey
5,845,303	12/01/1998	Templeman
5,892,909	04/06/1999	Grasso et al.
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5,931,907	08/03/1999	Davies et al.
6,003,070	12/14/1999	Frantz

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
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JP 07-066832	03/10/1995	Japan
EP 0 438 017 B1	07/24/1991	EPO
EP 0 562 995 A2	09/29/1993	EPO
WO 95/14268	05/26/1995	WIPO

#### **OTHER DOCUMENTS**

Bank, David, *"General Magic Will Make E-mail Act 'Intelligent'"*, San Jose Mercury News, Business Section, pg. 1F.

Bates, Joseph et al., *"An Architecture for Action, Emotion, and Social Behavior"*, Carnegie Mellon University, School of Computer Science, CMU-CS-92-144, pp. 1-14.

Bates, Joseph et al., *"An Architecture for Action, Emotion, and Social Behavior"*, Artificial Social Systems – 4<sup>th</sup> European Workshop on Modelling Autonomous Agents in a Multi-Agent World, MAAMAW '92, pp. 55-68.

Bates, Joseph et al., "*Broad Agents*", Carnegie Mellon University, School of Computer Science, SIGART Bulletin Vol. 2, No. 4, pp. 38-40.

Bates, Joseph et al., "*Integrating Reactivity, Goals, and Emotion in a Broad Agent*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-142, pp. 1-13.

Bates, Joseph, "*The Nature of Characters in Interactive Worlds and The Oz Project*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-200, pp. 1-7.

D. Comer, "*Internet Work With TCP/IP*" 1998, Prentice-Hall, ISBN 0-13-1170154-2, 025.

Flynn, Laurie, "*It's No Secret: 'Agents' Might Ease Your Life Think of Agents as Digital Super-Secretaries that Perform Mundane but Critical Information Tasks for You*", San Jose Mercury News, Computing Section, pg. 1F.

IBM Corporation, "*Graphic Office Interface*", IBM Technical Disclosure Bulletin, Vol. 34, Issue 1, pp. 266-269.

Loyall, A. Bryan et al., "*Hap A Reactive, Adaptive Architecture for Agents*", Carnegie Mellon University, School of Computer Science, CMU-CS-91-147, pp. 1-12.

Reilly, W. Scott et al., "*Building Emotional Agents*", Carnegie Mellon University, School of Computer Science, CMU-CS-92-143, pp. 1-13.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



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Dated: October 15, 2004

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 114243-003	Application No. 10/039,315
	Applicant Kazuhiko Hachiya et al.	
	Filing Date 10/22/2001	Group 2176

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,008,853	04/16/1991	Bly et al.			
	5,107,443	04/21/1992	Smith et al.			
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<b>Examiner:</b>	<b>Date Considered:</b>
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	Applicant Kazuhiko Hachiya et al.	
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FOR FOREIGN PATENT DOCUMENTS								
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							Yes	No
		JP 03-222033	10/01/1991	Japan				
		JP 05-274233	10/22/1993	Japan				
		JP 07-066832	03/10/1995	Japan				
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	Bank, David, "General Magic Will Make E-mail Act 'Intelligent'", San Jose Mercury News, Business Section, pg. 1F.
	Bates, Joseph et al., "An Architecture for Action, Emotion, and Social Behavior", Carnegie Mellon University, School of Computer Science, CMU-CS-92-144, pp. 1-14.
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\* did not received these ref.